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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Case No. 12672US01

PATENT

OFFICIAL

In the Application of:

ROBERT CAREY

Serial No.: 09/639,478

Filed: August 15, 2000

For: TECHNIQUES OF SELECTING
SECURITIES FOR A PORTFOLIO
USING BUYBACK RATIO AND
DIVIDEND YIELD

Examiner: Nicholas D. Rosen

Group Art Unit: 3625

Confirmation No.: 9992

#9/B
(NE)
1/29/04

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (FAX No. 703-872-9327) on January 27, 2004.

Ronald E. Larson
Ronald E. Larson, Esq., Reg. No. 24,478

AMENDMENT AFTER FINAL UNDER 37 CFR § 1.116

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated December 5, 2003, kindly amend
the above-identified application as follows:

BEST AVAILABLE COPY